

05/08/01  
J. 961 U. S. PTO

05-10-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

J1046 U S PTO  
09/052352

05/08/01

Attorney Docket No. 004939.P008  
(maximum 12 characters)

First Named Inventor Chris Alford, et al.

Title: A DIAGNOSTIC TOOL FOR A PORTABLE THREAD ENVIRONMENT

Express Mail Label No. EL627466058US

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.  **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.  **Specification (Total Pages 28)**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  **Drawings(s) (35 USC 113) (Total Sheets 16)**
5.  **Oath or Declaration (Total Pages 5)**
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
  - i.  **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c.  Unsigned.
6.  **Application Data Sheet. (37 CFR 1.76)**
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & documents(s))  
10.  Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
11.  English Translation Document (if applicable)  
12.  a. Information Disclosure Statement (IDS)/PTO-1449  
13.  b. Copies of IDS Citations  
14.  **Preliminary Amendment**  
15.  **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**  
16.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
17.  **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**  
18.  Other: Copy of Postcard w/Express Mail.

### 18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP)  
Of Prior Application No.: 09/792,550 Examiner Not Assigned Group Art Unit Not Assigned  
(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

**Applicant(s):** Also include a Preliminary Amendment to amend the specification to claim priority.  
**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that \_\_\_\_\_ (name of assignee) is  
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an  
assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_  
(or a copy of which is attached).

### 19. Correspondence Address

Customer Number or Bar Code Label \_\_\_\_\_  
or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME Sanjeet K. Dutta

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): Sanjeet K. Dutta Registration No.: 46,145  
Signature: Sanjeet K. Dutta Date: (408) 947-8200 5/8/2001

2/09/01

PTO/SB/35(11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$898.00

## Complete if Known:

Application No. Not AssignedFiling Date HerewithFirst Named Inventor Chris Alford, et al.Group Art Unit Not AssignedExaminer Name Not AssignedAttorney Docket No. 004939.P008

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
Deposit Account Name 

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 Credit Card  
 Money Order  
 Other

## FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>		
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
101	710	201	355	Utility application filing fee	<u>710.00</u>
106	320	206	160	Design application filing fee	<u></u>
107	490	207	245	Plant filing fee	<u></u>
108	710	208	355	Reissue filing fee	<u></u>
114	150	214	75	Provisional application filing fee	<u></u>

SUBTOTAL (1) \$ 710.002. EXTRA CLAIM FEES

<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>26</u> - 20** = <u>6</u>	X <u>\$18.00</u>	= <u>\$108.00</u>
Independent Claims <u>4</u> - 3** = <u>1</u>	X <u>\$80.00</u>	= <u>\$80.00</u>
Multiple Dependent		=

\*\*Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>		
Fee	Fee	Fee		
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 188.00

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
			<b>Surcharge - late filing fee or oath</b>
			<b>Surcharge - late provisional filing fee or cover sheet</b>
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			<b>Non-English specification</b>
			<b>For filing a request for ex parte reexamination</b>
			<b>Requesting publication of SIR prior to Examiner action</b>
113	1,840*	113	1,840*
			<b>Requesting publication of SIR after Examiner action</b>
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
			<b>Petition to institute a public use proceeding</b>
			<b>Petition to revive – unavoidable</b>
			<b>Petition to revive - unintentional</b>
			<b>Utility issue fee (or reissue)</b>
			<b>Design issue fee</b>
			<b>Plant issue fee</b>
			<b>Petitions to the Commissioner</b>
			<b>Processing fee under 37 CFR 1.17(q)</b>
			<b>Submission of Information Disclosure Stmt</b>
			<b>Recording each patent assignment per property (times number of properties)</b>
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
			<b>For filing a submission after final rejection (see 37 CFR 1.129(a))</b>
			<b>For each additional invention to be examined (see 37 CFR 1.129(b))</b>
			<b>Request for Continued Examination (RCE)</b>
			<b>Request for expedited examination of a design application</b>
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,240	091	1,240
			<b>Publication fee for early, voluntary, or normal publication</b>
			<b>Publication fee for republication</b>
			<b>Request for voluntary publication or republication</b>
			<b>Processing fee under 37 CFR 1.17(i)</b>
			<b>Acceptance of unintentionally delayed claim for priority</b>

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$0**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Sanjee K. Dutta

Signature: Sanjee K. Dutta Date: 5/8/2001

Reg. Number: 46,145 Telephone Number: (408) 947-8200

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL627466058US

Date of Deposit: May 8, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Geneva Walls

(Typed or printed name of person mailing paper or fee)

Geneva Walls  
(Signature of person mailing paper or fee)

May 8, 2001

(Date signed)

Serial/Patent No.: \*\*\* Filing/Issue Date: Herewith  
Client: Transilica, Inc.  
Title: A DIAGNOSTIC TOOL FOR A PORTABLE THREAD ENVIRONMENT

BSTZ File No.: 004939.P008  
Date Mailed: May 8, 2001

Atty/Secty Initials: SKD/gw

Docket Due Date: \*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (\_\_\_\_ pgs.)  Express Mail No.: EL627466058US  Check No. 42677  
 Appeal Brief (\_\_\_\_ pgs.) (in triplicate)  Month(s) Extension of Time  Amt: \$898.00  
 Application - Utility (\_\_\_\_ pgs., with cover and abstract)  Information Disclosure Statement & PTO-149 (\_\_\_\_ pgs.)  Check No. \_\_\_\_\_  
 Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)  Issue Fee Transmittal  Amt: \_\_\_\_\_  
 Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)  Notice of Appeal  Petition for Extension of Time  
 Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)  Petition for \_\_\_\_\_  
 Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)  Postcard  
 Application - Design (\_\_\_\_ pgs.)  Power of Attorney (\_\_\_\_ pgs.)  
 Application - PCT (\_\_\_\_ pgs.)  Preliminary Amendment (\_\_\_\_ pgs.)  
 Application - Provisional (\_\_\_\_ pgs.)  Reply Brief (\_\_\_\_ pgs.)  
 Assignment and Cover Sheet  Response to Notice of Missing Parts  
 Certificate of Mailing (Express Mail)  Small Entity Declaration for Indep. Inventor/Small Business  
 Declaration & POA (\_\_\_\_ pgs.) (Unexecuted)  Transmittal Letter, in duplicate  
 Disclosure Dts & Org & Copy of Inventor Signed Letter (\_\_\_\_ pgs.)  Fee Transmittal, in duplicate  
 Drawings: 16 # of sheets includes 20 figures

Other: Copy of Postcard w/Express Mail Stamp.